



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/047,983 | 01/17/2002 | John F. Carver | 1823.0440001 |

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
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WASHINGTON, DC 20005-3934



CONFIRMATION NO. 3238

FORMALITIES LETTER



OC000000007446623

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$72 for 4 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

05/10/2002 BABRAHA1 00000002 10047983

| | | |
|-----------|--------|----|
| 01 FC:201 | 370.00 | OP |
| 02 FC:203 | 56.00 | OP |
| 03 FC:202 | 84.00 | OP |

*A copy of this notice **MUST** be returned with the reply.*

05/22/2002 00000002 10047983 10047983 J. D. WARD

Customer Service Center

01 FC:205 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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05/22/2002 SSAL001 00000009 10047983
01 FC:205 65.00 CH



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*Admitted only in Maryland
*Admitted only in Virginia
*Admitted only in Texas

May 8, 2002

WRITER'S DIRECT NUMBER:

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Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 10/047,983; Filed: January 17, 2002
For: **Platen Heaters for Biometric Image Capturing Devices**
Inventors: Carver *et al.*
Our Ref: 1823.0440001

Sir:

In reply to the "Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted," dated February 8, 2002, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136(a)(1);
2. Fee Transmittal Form (PTO/SB/17);
3. Copy of the Notice to File Missing Parts;
4. Copy of an original executed Declaration for Patent Application;
5. Copy of an original executed Power of Attorney from Assignee;
6. Copy of an original Certificate Under 37 C.F.R. § 3.73(b) with an attached copy of an Assignment to Cross Match Technologies, Inc.;
7. Copy of an original executed Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(d) and 1.27(c)) -- Small Business Concern;

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Commissioner for Patents
May 8, 2002
Page 2

8. One (1) return postcard; and
9. SKGF check no. **35100** in the amount of **\$545.00** to cover:
 \$370.00 fee for filing patent application;
 \$ 36.00 fee for total claims in excess of twenty (20);
 \$ 84.00 fee for independent claims in excess of three (3); and
 \$ 55.00 fee for one (1) month extension of time.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Albert J. Faruolo II for Reg No 43,607

Michael V. Messinger
Attorney for Applicants
Registration No. 37,575

MVM/RDL:mlb
Enclosures

SKGF_DC1:12064.1

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$545.00)



Complete if Known

| | |
|---------------------|------------------|
| Application Number | 10/047,983 |
| Filing Date | January 17, 2002 |
| Inventors | Carver et al. |
| Examiner Name | (to be assigned) |
| Group Art Unit | 3742 |
| Attorney Docket No. | 1823.0440001 |

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **19-0036**
 Deposit Account Name **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status
 See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|-----------------|----------|
| 101 | 740 | 201 | 370 |
| 106 | 330 | 206 | 165 |
| 107 | 510 | 207 | 255 |
| 108 | 740 | 208 | 370 |
| 114 | 160 | 214 | 80 |
| SUBTOTAL (1) (\$) | | 370.00 | |

2. EXTRA CLAIM FEES

| Extra | Fee from below | Fee Paid |
|------------------------|------------------------------|----------------|
| Total Claims <u>24</u> | - 20** = <u>4</u> X <u>9</u> | = <u>36.00</u> |
| Indep. Claims <u>5</u> | - 3** = <u>2</u> X <u>42</u> | = <u>84.00</u> |

Multiple Dependent

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|-----------------|
| 103 | 18 | 203 |
| 102 | 84 | 202 |
| 104 | 280 | 204 |
| 108 | 84 | 209 |
| 110 | 18 | 210 |
| SUBTOTAL (2) (\$) | | 120.00 |

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES
Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee paid |
|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 55.00 |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 481 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 55.00)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Michael V. Messinger | Registration No. (Attorney/Agent) | 37,575 | Telephone | 202-371-2600 |
| Signature | <i>Michael V. Messinger for Reg. No. 43,607</i> | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 10/01/01 mnac

SKGF_DCT12071

#12071v1<SKGF_DC1>-Fee Transmittal - Reply to NTFMP Filing.wpd

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